

Annexure 4 to The Directors Report

Form No. MGT-9

EXTRACT OF ANNUAL RETURN

As on the financial year ended on March 31, 2020

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

| | | |
|------|--|--|
| i) | CIN | L65999DL1985PLC022723 |
| ii) | Registration Date | 09/12/1985 |
| iii) | Name of the Company | Apollo Pipes Limited |
| iv) | Category/ Sub Category of the Company | Public Company / Limited by Shares |
| v) | Address of the Registered Office and contact details | 37, Hargobind Enclave, Vikas Marg, New Delhi-110092, India Contact details: 011-43334000/ 0120-2973411 |
| vi) | Whether Listed Company | Yes - BSE and NSE |
| vii) | Name, Address and Contact details of Registrar and Transfer Agent, if any- | Name of Registrar & Transfer Agent :- M/s. Beetal Financial & Computer Services (Pvt.) Limited Address: Beetal House, 3rd Floor, 99, Madangir, Behind Local Shopping Centre, Near Dada Harsukh Das Mandir, New Delhi-110062 Telephone: 011-29961281 Email Address: beetalrta@gmail.com |

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

| S. No. | Name and Description of main products / services | NIC Code of the Product/ service | % to total turnover of the company |
|--------|--|----------------------------------|------------------------------------|
| 1. | Plastic Products | 222 | 100% |

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

| S. No. | Name And Address of the Company | CIN | Holding/ Subsidiary/ Associate | % of Shares Held | Applicable Section |
|--------|---------------------------------|-----|--------------------------------|------------------|--------------------|
| | | | NIL | | |

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) CATEGORY-WISE SHARE HOLDING:

| Category of Shareholders | No. of Shares held at the beginning of the year | | | | No. of Shares held at the end of the year | | | | % Change during the year | |
|--------------------------|---|----------|-----------|-------------------|---|----------|-----------|-------------------|--------------------------|---|
| | Demat | Physical | Total | % of Total Shares | Demat | Physical | Total | % of Total Shares | | |
| A. Promoters | | | | | | | | | | |
| 1. Indian | | | | | | | | | | |
| a) Individual/ HUF | 50,50,702 | - | 50,50,702 | 42.14 | 61,75,702 | - | 61,75,702 | 47.11 | 4.97 | |
| b) Central Govt | - | - | - | - | - | - | - | - | - | - |
| c) State Govt(s) | - | - | - | - | - | - | - | - | - | - |
| d) Bodies Corp. | - | - | - | - | - | - | - | - | - | - |
| e) Banks / FI | - | - | - | - | - | - | - | - | - | - |

| Category of Shareholders | No. of Shares held at the beginning of the year | | | | No. of Shares held at the end of the year | | | | % Change during the year |
|--|---|--------------|------------------|-------------------|---|--------------|------------------|-------------------|--------------------------|
| | Demat | Physical | Total | % of Total Shares | Demat | Physical | Total | % of Total Shares | |
| f) Any other | - | - | - | - | - | - | - | - | - |
| Sub Total A(1):- | 50,50,702 | - | 50,50,702 | 42.14 | 61,75,702 | - | 61,75,702 | 47.11 | 4.97 |
| 2. Foreign | | | | | | | | | |
| a) NRIs- Individuals | - | - | - | - | - | - | - | - | - |
| b) Other-Individuals | - | - | - | - | - | - | - | - | - |
| c) Bodies Corporate | - | - | - | - | - | - | - | - | - |
| d) Banks/FI | - | - | - | - | - | - | - | - | - |
| e) Any Other | - | - | - | - | - | - | - | - | - |
| Sub Total A(2):- | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total shareholding of Promoter (A) = (A) (1)+(A)(2) | 50,50,702 | - | 50,50,702 | 42.14 | 61,75,702 | - | 61,75,702 | 47.11 | 4.97 |
| B. Public Shareholding | | | | | | | | | |
| 1. Institutions | | | | | | | | | |
| a) Mutual Funds | 10,34,261 | - | 10,34,261 | 8.63 | 10,57,408 | - | 10,57,408 | 8.06 | -0.57 |
| b) Banks / FI | - | - | - | - | 9909 | - | 9,909 | 0.07 | 0.07 |
| c) Central Govt | - | - | - | - | - | - | - | - | - |
| d) State Govt(s) | - | - | - | - | - | - | - | - | - |
| e) Venture Capital Funds | - | - | - | - | - | - | - | - | - |
| f) Insurance Companies | 2,47,195 | - | 2,47,195 | 2.07 | 98,989 | - | 98,989 | 0.75 | -1.32 |
| g) FIs | 9,27,860 | - | 9,27,860 | 7.74 | 5,89,290 | - | 5,89,290 | 4.49 | -3.25 |
| h) Foreign Venture Capital Funds | - | - | - | - | - | - | - | - | - |
| i) Others (specify) | 2,50,000 | - | 2,50,000 | 2.09 | 2,50,000 | - | 2,50,000 | 1.90 | -0.19 |
| Sub-total (B)(1):- | 24,59,316 | - | 24,59,316 | 20.53 | 20,05,596 | - | 20,05,596 | 15.29 | -5.24 |
| 2. Non-Institutions | | | | | | | | | |
| a) Bodies Corp. | - | - | - | - | - | - | - | - | - |
| i) Indian | 6,16,373 | - | 6,16,373 | 5.14 | 4,82,013 | - | 4,82,013 | 3.67 | -1.47 |
| ii) Overseas | - | - | - | - | - | - | - | - | - |
| b) Individuals | - | - | - | - | - | - | - | - | - |
| i) Individual shareholders holding nominal share capital upto ₹1 lakh | 20,47,644 | 7,650 | 20,55,294 | 17.15 | 15,15,251 | 7,650 | 15,22,901 | 11.62 | -5.53 |
| ii) Individual shareholders holding nominal share capital in excess of ₹1 lakh | 12,41,154 | - | 12,41,154 | 10.36 | 25,12,353 | - | 25,12,353 | 19.16 | 8.8 |
| c) Others (specify) | - | - | - | - | - | - | - | - | - |
| i) Non Resident Indians | 1,71,482 | 0 | 1,71,482 | 1.43 | 1,54,347 | - | 1,54,347 | 1.17 | -0.26 |
| ii) Clearing Members | 1,08,239 | 0 | 1,08,239 | 0.90 | 36,589 | - | 36,589 | 0.27 | -0.63 |
| iii) HUFs | 2,78,342 | 0 | 2,78,342 | 2.32 | 2,19,901 | - | 2,19,901 | 1.67 | -0.65 |
| iv) Trusts | 3,500 | 0 | 3,500 | 0.03 | - | - | - | - | -0.03 |
| Sub-total (B)(2):- | 44,66,734 | 7,650 | 44,74,384 | 37.33 | 49,20,454 | 7,650 | 49,28,104 | 37.59 | 0.26 |

| Category of Shareholders | No. of Shares held at the beginning of the year | | | | No. of Shares held at the end of the year | | | | % Change during the year |
|--|---|--------------|--------------------|-------------------|---|--------------|--------------------|-------------------|--------------------------|
| | Demat | Physical | Total | % of Total Shares | Demat | Physical | Total | % of Total Shares | |
| Total Public Shareholding (B)=(B)(1)+ (B)(2) | 69,26,050 | 7650 | 69,33,700 | 57.86 | 69,26,050 | 7,650 | 69,33,700 | 52.89 | -4.97 |
| C. Shares held by Custodian for GDRs & ADRs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total (A+B+C) | 1,19,76,752 | 7,650 | 1,19,84,402 | 100 | 1,31,01,752 | 7,650 | 1,31,09,402 | 100 | 0 |

ii) SHAREHOLDING OF PROMOTER:

| S. No. | Shareholder | Shareholding at the beginning of the year | | | Shareholding at the end of the year | | | % change in shareholding during the year |
|--------|-----------------|---|----------------------------------|--|-------------------------------------|----------------------------------|--|--|
| | | No. of Shares | % of total Shares of the company | % of Shares Pledged / encumbered to total shares | No. of Shares | % of total Shares of the company | % of Shares Pledged / encumbered to total shares | |
| 1 | Sameer Gupta | 16,03,778 | 13.38 | - | 27,28,778 | 20.81 | - | 7.43 |
| 2 | Meenakshi Gupta | 32,85,524 | 27.42 | -- | 32,85,524 | 25.06 | | -2.36 |
| 3 | Sanjay Gupta* | 1,61,400 | 1.35 | - | 1,61,400 | 1.23 | | -0.12 |
| | Total | 50,50,702 | 42.14 | -- | 61,75,702 | 47.10 | | 4.96 |

*holding as karta of Sanjay Gupta HUF

III. CHANGE IN PROMOTERS' SHAREHOLDING (PLEASE SPECIFY, IF THERE IS NO CHANGE):

| Sr. No. | Particulars | Shareholding at the beginning of the year | | Cumulative shareholding during the year | |
|----------|---|--|----------------------------------|---|----------------------------------|
| | | No. of Shares | % of total shares of the Company | No. of Shares | % of total shares of the Company |
| 1 | Mr. Sameer Gupta | | | | |
| | At the beginning of the year | 16,03,778 | 13.38 | | |
| | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc): | 11,25,000 | 7.43 | 27,28,778 | 20.81 |
| | | Conversion of warrant into equity shares on 02.04.2019 | | | |
| | At the end of the year | 27,28,778 | 20.81 | | |
| 2 | Mrs. Meenakshi Gupta | | | | |
| | At the beginning of the year | 32,85,524 | 27.42 | | |
| | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc): | NIL | | | |
| | At the end of the year | 32,85,524 | 25.06 | | |
| 3 | Sanjay Gupta HUF | | | | |
| | At the beginning of the year | 1,61,400 | 1.35 | | |
| | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc): | NIL | | | |
| | At the end of the year | 1,61,400 | 1.23 | | |

**iv) SHAREHOLDING PATTERN OF TOP TEN SHAREHOLDERS:
(other than Directors, Promoters and Holders of GDRs and ADRs):**

| Sl. No. | Name of the Shareholder(s) | Shareholding at beginning/ end of the year | Percentage (%) | Date(s) | Increase/ Decrease | Reason | Cumulative Shareholding During the year | | | | | |
|-----------|--------------------------------|--|----------------|-----------|----------------------------|----------|---|-----------|--------|----------|----------|------|
| | | | | | | | No. of Shares | % | | | | |
| 1. | KOTAK SMALL CAP FUND | 5,00,641 | 3.81 | 31-Mar-19 | | | | | | | | |
| | | | | 26-Apr-19 | -10,000 | Sell | 4,90,641 | 3.74 | | | | |
| | | | | 17-Jan-20 | 26,916 | Purchase | 5,17,557 | 3.95 | | | | |
| | | | | 24-Jan-20 | 11,865 | Purchase | 5,29,422 | 4.04 | | | | |
| | | | | 31-Jan-20 | 17,528 | Purchase | 5,46,950 | 4.17 | | | | |
| | | | | 07-Feb-20 | 13,098 | Purchase | 5,60,048 | 4.27 | | | | |
| | | | | 14-Feb-20 | 2,034 | Purchase | 5,62,082 | 4.29 | | | | |
| | | | | 28-Feb-20 | 5,334 | Purchase | 5,67,416 | 4.33 | | | | |
| | | | | 06-Mar-20 | 2,481 | Purchase | 5,69,897 | 4.35 | | | | |
| | | | | 20-Mar-20 | 3,565 | Purchase | 5,73,462 | 4.37 | | | | |
| | | | | 27-Mar-20 | 6,617 | Purchase | 5,80,079 | 4.42 | | | | |
| | | | | 31-Mar-20 | | | 5,80,079 | 4.42 | | | | |
| | | | | 2. | MALABAR INDIA FUND LIMITED | 3,63,164 | 2.77 | 31-Mar-19 | | | | |
| | | | | | | | | 04-Oct-19 | 30,400 | Purchase | 3,93,564 | 3.00 |
| 11-Oct-19 | 1,049 | Purchase | 3,94,613 | | | | | 3.01 | | | | |
| 18-Oct-19 | 6,782 | Purchase | 4,01,395 | | | | | 3.06 | | | | |
| 25-Oct-19 | 2,269 | Purchase | 4,03,664 | | | | | 3.08 | | | | |
| 15-Nov-19 | 1,800 | Purchase | 4,05,464 | | | | | 3.09 | | | | |
| 22-Nov-19 | 8,300 | Purchase | 4,13,764 | | | | | 3.16 | | | | |
| 29-Nov-19 | 11,600 | Purchase | 4,25,364 | | | | | 3.24 | | | | |
| 06-Dec-19 | 3,400 | Purchase | 4,28,764 | | | | | 3.27 | | | | |
| 13-Dec-19 | 7,500 | Purchase | 4,36,264 | | | | | 3.33 | | | | |
| 20-Dec-19 | 7,700 | Purchase | 4,43,964 | | | | | 3.39 | | | | |
| 27-Dec-19 | 3,200 | Purchase | 4,47,164 | | | | | 3.41 | | | | |
| 03-Jan-20 | 5,200 | Purchase | 4,52,364 | | | | | 3.45 | | | | |
| 10-Jan-20 | 9,400 | Purchase | 4,61,764 | | | | | 3.52 | | | | |
| 17-Jan-20 | 4,011 | Purchase | 4,65,775 | | | | | 3.55 | | | | |
| 20-Mar-20 | 18,807 | Purchase | 4,84,582 | | | | | 3.70 | | | | |
| 27-Mar-20 | 25,682 | Purchase | 5,10,264 | 3.89 | | | | | | | | |
| 31-Mar-20 | 380 | Purchase | 5,10,644 | 3.90 | | | | | | | | |
| 31-Mar-20 | | | 5,10,644 | 3.90 | | | | | | | | |
| 3 | IDFC TAX ADVANTAGE (ELSS) FUND | 5,33,620 | 4.07 | 31-Mar-19 | | | | | | | | |
| | | | | 05-Apr-19 | 6,209 | Purchase | 5,39,829 | 4.12 | | | | |
| | | | | 12-Apr-19 | 2,500 | Purchase | 5,42,329 | 4.14 | | | | |
| | | | | 26-Apr-19 | -9,191 | Sell | 5,33,138 | 4.07 | | | | |
| | | | | 10-May-19 | 2,869 | Purchase | 5,36,007 | 4.09 | | | | |
| | | | | 24-May-19 | 7,500 | Purchase | 5,43,507 | 4.15 | | | | |
| | | | | 31-May-19 | 12,500 | Purchase | 5,56,007 | 4.24 | | | | |
| | | | | 07-Jun-19 | 2,500 | Purchase | 5,58,507 | 4.26 | | | | |
| 21-Jun-19 | 5,000 | Purchase | 5,63,507 | 4.30 | | | | | | | | |

| Sl. No. | Name of the Shareholder(s) | Shareholding at beginning/ end of the year | Percentage (%) | Date(s) | Increase/ Decrease | Reason | Cumulative Shareholding During the year | |
|---------|-----------------------------|--|----------------|-----------|--------------------|----------|---|------|
| | | | | | | | No. of Shares | % |
| | | | | 28-Jun-19 | 7,500 | Purchase | 5,71,007 | 4.36 |
| | | | | 05-Jul-19 | 6,822 | Purchase | 5,77,829 | 4.41 |
| | | | | 12-Jul-19 | 2,500 | Purchase | 5,80,329 | 4.43 |
| | | | | 26-Jul-19 | 10,000 | Purchase | 5,90,329 | 4.50 |
| | | | | 02-Aug-19 | 5,000 | Purchase | 5,95,329 | 4.54 |
| | | | | 30-Aug-19 | 2,000 | Purchase | 5,97,329 | 4.56 |
| | | | | 06-Sep-19 | 1,000 | Purchase | 5,98,329 | 4.56 |
| | | | | 20-Sep-19 | 2,000 | Purchase | 6,00,329 | 4.58 |
| | | | | 27-Sep-19 | -18,479 | Sell | 5,81,850 | 4.44 |
| | | | | 11-Oct-19 | 2,161 | Purchase | 5,84,011 | 4.45 |
| | | | | 18-Oct-19 | 5,000 | Purchase | 5,89,011 | 4.49 |
| | | | | 25-Oct-19 | 771 | Purchase | 5,89,782 | 4.50 |
| | | | | 01-Nov-19 | 2,547 | Purchase | 5,92,329 | 4.52 |
| | | | | 08-Nov-19 | -57,575 | Sell | 5,34,754 | 4.08 |
| | | | | 22-Nov-19 | -966 | Sell | 5,33,788 | 4.07 |
| | | | | 29-Nov-19 | -5 | Sell | 5,33,783 | 4.07 |
| | | | | 13-Dec-19 | 2,500 | Purchase | 5,36,283 | 4.09 |
| | | | | 20-Dec-19 | 2,500 | Purchase | 5,38,783 | 4.11 |
| | | | | 03-Jan-20 | -7,997 | Sell | 5,30,786 | 4.05 |
| | | | | 10-Jan-20 | -42 | Sell | 5,30,744 | 4.05 |
| | | | | 17-Jan-20 | -18,415 | Sell | 5,12,329 | 3.91 |
| | | | | 31-Jan-20 | -50,000 | Sell | 4,62,329 | 3.53 |
| | | | | 07-Feb-20 | 2,500 | Purchase | 4,64,829 | 3.55 |
| | | | | 28-Feb-20 | 5,000 | Purchase | 4,69,829 | 3.58 |
| | | | | 06-Mar-20 | 2,500 | Purchase | 4,72,329 | 3.60 |
| | | | | 13-Mar-20 | 1,869 | Purchase | 4,74,198 | 3.62 |
| | | | | 20-Mar-20 | 3,131 | Purchase | 4,77,329 | 3.64 |
| | | | | 31-Mar-20 | | | 4,77,329 | 3.64 |
| 4. | ASHISH KACHOLIA | 30,000 | 0.23 | 31-Mar-19 | | | | |
| | | | | 27-Sep-19 | 2,33,513 | Purchase | 2,63,513 | 2.01 |
| | | | | 11-Oct-19 | 37,403 | Purchase | 3,00,916 | 2.30 |
| | | | | 18-Oct-19 | 8,560 | Purchase | 3,09,476 | 2.36 |
| | | | | 25-Oct-19 | 1,00,000 | Purchase | 4,09,476 | 3.12 |
| | | | | 08-Nov-19 | 51,493 | Purchase | 4,60,969 | 3.52 |
| | | | | 20-Mar-20 | 8,000 | Purchase | 4,68,969 | 3.58 |
| | | | | 31-Mar-20 | | | 4,68,969 | 3.58 |
| 5 | MUKUL MAHAVIRPRASAD AGRAWAL | 4,00,000 | 3.05 | 31-Mar-19 | | | | |
| | | | | 27-Sep-19 | 16,000 | Purchase | 4,16,000 | 3.17 |
| | | | | 04-Oct-19 | 15,000 | Purchase | 4,31,000 | 3.29 |
| | | | | 31-Mar-20 | | | 4,31,000 | 3.29 |

APOLLO PIPES LIMITED
[Formally known as 'Amulya Leasing & Finance Limited']

| Sl. No. | Name of the Shareholder(s) | Shareholding at beginning/ end of the year | Percentage (%) | Date(s) | Increase/ Decrease | Reason | Cumulative Shareholding During the year | |
|-----------|--|--|----------------|-----------|--------------------|----------|---|------|
| | | | | | | | No. of Shares | % |
| 6 | MALABAR VALUE FUND | 2,50,000 | 1.91 | 31-Mar-19 | | | | |
| | | | | 31-Mar-20 | | | 2,50,000 | 1.91 |
| 7 | SANJEEV SINGHAL | 1,30,000 | 0.99 | 31-Mar-19 | | | | |
| | | | | 31-Mar-20 | 80,000 | Purchase | 2,10,000 | 1.60 |
| | | | | 31-Mar-20 | - | - | 2,10,000 | 1.60 |
| 8 | SANJAY SINGHAL | 1,30,000 | 0.99 | 31-Mar-19 | | | | |
| | | | | 31-Mar-20 | | | 1,30,000 | 0.99 |
| 9 | VALLABH BHANSHALI | 1,00,000 | 0.76 | 31-Mar-19 | | | | |
| | | | | 31-Mar-20 | | | 1,00,000 | 0.76 |
| 10 | EVERFRESH ENTERPRISES LLP | 50,000 | 0.38 | 31-Mar-19 | | | | |
| | | | | 05-Apr-19 | 50,000 | Purchase | 1,00,000 | 0.76 |
| | | | | 31-Mar-20 | | | 1,00,000 | 0.76 |
| 11 | SURAJ BHANSHALI | 1,00,000 | 0.76 | 31-Mar-19 | | | | |
| | | | | 31-Mar-20 | | | 1,00,000 | 0.76 |
| 12 | CANARA HSBC ORIENTAL BANK OF COMMERCE LIFE INSURANCE COMPANY | 2,47,195 | 1.89 | 31-Mar-19 | | | | |
| | | | | 17-May-19 | 585 | Purchase | 2,47,780 | 1.89 |
| | | | | 07-Jun-19 | 1,214 | Purchase | 2,48,994 | 1.90 |
| | | | | 27-Sep-19 | -1,40,000 | Sell | 1,08,994 | 0.83 |
| | | | | 04-Oct-19 | -34 | Sell | 1,08,960 | 0.83 |
| | | | | 25-Oct-19 | -2735 | Sell | 1,06,225 | 0.81 |
| | | | | 01-Nov-19 | -5284 | Sell | 1,00,941 | 0.77 |
| | | | | 08-Nov-19 | -1952 | Sell | 98,989 | 0.76 |
| | | | | 31-Mar-20 | | | 98,989 | 0.76 |
| 13 | DHOOT INDUSTRIAL FINANCE LIMITED | 70,000 | 0.53 | 31-Mar-19 | | | 70,000 | 0.53 |
| | | | | 31-Mar-20 | | | 70,000 | 0.53 |
| 14 | VIKASH GUPTA | 2,500 | 0.02 | 31-Mar-19 | | | | |
| | | | | 31-Mar-20 | 60,000 | Purchase | 62,500 | 0.48 |
| | | | | 31-Mar-20 | | | 62,500 | 0.48 |
| 15 | SUNIL GOVIND NAIK | 58,000 | 0.44 | 31-Mar-19 | | | | |
| | | | | 12-Apr-19 | 2,500 | Purchase | 60,500 | 0.46 |
| | | | | 31-May-19 | 1,469 | Purchase | 61,969 | 0.47 |
| | | | | 07-Jun-19 | 5,031 | Purchase | 67,000 | 0.51 |
| | | | | 14-Jun-19 | 2,000 | Purchase | 69,000 | 0.53 |
| | | | | 05-Jul-19 | 1,000 | Purchase | 70,000 | 0.53 |
| | | | | 12-Jul-19 | 2,000 | Purchase | 72,000 | 0.55 |
| | | | | 27-Sep-19 | 3,000 | Purchase | 75,000 | 0.57 |
| | | | | 06-Mar-20 | -15,000 | Sell | 60,000 | 0.46 |
| 31-Mar-20 | - | | 60,000 | 0.46 | | | | |

V) SHAREHOLDING OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:

| S. No. | Shareholding of each Directors and each Key Managerial Personnel | Shareholding at the beginning of the year | | Cumulative Shareholding during the Year | |
|---------------------|---|--|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the company | No. of shares | % of total shares of the company |
| A. Directors | | | | | |
| 1. | Mr. Sameer Gupta | | | | |
| | At the beginning of the year | 16,03,778 | 13.38 | | |
| | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc): | 11,25,000 issue if shares on Conversion of warrants into shares on 02.04.2019 | 7.43 | 27,28,778 | 20.81 |
| | At the end of the year | 27,28,778 | 20.81 | | |
| 2. | *Mr. Sanjay Gupta | | | | |
| | At the beginning of the year | 1,61,400 | 1.35 | | |
| | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc): | NIL | | | |
| | At the end of the year | 1,61,400 | 1.23 | | |
| 3. | Ms. Neeru Abrol | | | | |
| | At the beginning of the year | NIL | N.A. | | |
| | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc): | NIL | | | |
| | At the end of the year | NIL | N.A. | | |
| 4. | Mr. Pradeep Kumar Jain | | | | |
| | At the beginning of the year | NIL | N.A. | | |
| | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc): | NIL | | | |
| | At the end of the year | NIL | N.A. | | |
| 5 | Mr. Saket Agarwal | | | | |
| | At the beginning of the year | 32,000 | 0.24 | | |
| | Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc): | Acquire 6,29,261 shares on 06.09.2019 from secondary market. | | 6,61,261 | 5.04 |
| | | Sell 3,97,102 shares on 27.03.2020 in secondary market. | | 2,64,159 | 2.01 |
| | At the end of the year | 2,64,159 | 2.01 | | |

| S. No. | Shareholding of each Directors and each Key Managerial Personnel | Shareholding at the beginning of the year | | Cumulative Shareholding during the Year | |
|--|---|---|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the company | No. of shares | % of total shares of the company |
| 6 | **Mr. Abhilash Lal | | | | |
| | At the beginning of the year | NIL | N.A. | | |
| | Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc): | NIL | | | |
| | At the end of the year | NIL | N.A. | | |
| *Sanjay Gupta HUF, Promoter holds 1,61,400 (1.23%) equity shares in the Company. Mr. Sanjay Gupta acts as Karta in Sanjay Gupta HUF. | | | | | |
| **Mr. Abhilash Lal was appointed as Additional Director w.e.f. 22.03.2020. | | | | | |
| Mr. Ramesh Chandra Jindal (DIN: 01377137)-Director (Non-Executive and Independent) has resigned from the Directorship on 24.12.2019. | | | | | |
| B. Key Managerial Personnel | | | | | |
| 1. | Mr. A.K. Jain , Chief Financial Officer | | | | |
| | At the beginning of the year | 600 | 0.00 | 600 | 0.00 |
| | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc): | | | | |
| | At the end of the year | 600 | 0.00 | | |
| 2. | Mr. Ankit Sharma, Company Secretary* | | | | |
| | At the beginning of the year | NIL | N.A. | | |
| | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc): | | | | |
| | At the end of the year | NIL | N.A. | | |

*Mr. Ankit Sharma was appointed as Company Secretary of the company w.e.f. 12.08.2019 in place of Mr. Anand Kumar Pandey who resigned from his office on 30.06.2019.

v) INDEBTEDNESS - Indebtedness of the Company including interest outstanding/accrued but not due for payment:

(₹ In Lakhs)

| | Secured Loans excluding deposits | Unsecured Loans | Deposits | Total Indebtedness |
|--|-------------------------------------|--------------------|----------|-----------------------|
| Indebtedness at the beginning of the financial year | 6,246.34 | 4,978.13 | - | 11,224.47 |
| i) Principal Amount | 6,246.34 | 4,978.13 | - | 11,224.47 |
| ii) Interest due but not paid | - | - | - | - |
| iii) Interest accrued but not due | - | - | - | - |
| Total (i+ii+iii) | 6,246.34 | 4,978.13 | - | 11,224.47 |
| Change in Indebtedness during the financial year | | | | |
| * Addition | 5859.00 | - | - | 5859.00 |
| * Reduction | 3072.64 | 4,978.13 | - | 8050.77 |
| Net Change | 2,786.36 | -4,978.13 | - | -2,191.77 |
| Indebtedness at the end of the financial year | | | | |
| i) Principal Amount | 9,007.22 | - | - | 9,007.22 |
| ii) Interest due but not paid | - | - | - | - |
| iii) Interest accrued but not due | 25.48 | - | - | 25.48 |
| Total (i+ii+iii) | 9,032.70 | - | - | 9,032.70 |

VI) REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

(₹ In Lakhs)

| S. No. | Particulars of Remuneration | Mr. Sameer Gupta (Managing Director) | Total Amount |
|--------|---|---|--------------|
| 1 | Gross salary | | |
| | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | 120.0 | 120.0 |
| | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 | NIL | N.A. |
| | (c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961 | NIL | N.A. |
| 2 | Stock Option | - | - |
| 3 | Sweat Equity | - | - |
| 4 | Commission | | |
| | - as % of profit | | |
| | - others, specify- | | |
| 5 | Others, please specify | - | - |
| | Total (A) | 120.0 | 120.0 |
| | Ceiling as per the Act | Lakh (being 5% of the net profits of the Company as per Section 198 of the Companies Act, 2013) | |

*Disclosure of ratio of the remuneration of each Executive Director to the median remuneration of the employees of the Company and other requisite details pursuant to Section 197(12) of the Companies Act, 2013 read with Rule 5(1) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 as amended, is annexed to this report as Annexure '5'. Further, particulars of employees pursuant to Rule 5(2) and 5(3) of the above Rules, form part of this report.

B. Remuneration to other directors:

(₹ In Lakhs)

| Particulars of Remuneration | Name of Directors | | | | Total Amount |
|--|------------------------|-------------------|----------------------------|--------------------|--------------|
| | Mr. Pradeep Kumar Jain | Ms. Neeru Abrol | *Mr. Ramesh Chandra Jindal | **Mr. Abhilash Lal | |
| Independent Directors | | | | | |
| Fee for attending Board / Committee Meetings | 2.45 | 3.20 | 1.40 | - | 7.05 |
| Commission | NIL | NIL | NIL | NIL | NIL |
| Others, please specify | | | | | - |
| Total (1) | | | | | |
| Other Non-Executive Directors | Mr. Sanjay Gupta | Mr. Saket Agarwal | | | |
| Fee for attending Board / Committee Meetings | NIL | NIL | | | NIL |
| Commission | - | - | | | - |
| Others, please specify | - | - | | | |
| Total (2) | NIL | NIL | | | NIL |
| Total (B)=(1+2) | NIL | NIL | | | NIL |
| Total Managerial | | | | | |
| Remuneration | NIL | NIL | | | NIL |
| Overall Ceiling as per the Act | N.A. | | | | |

*Mr. Ramesh Chandra Jindal has resigned from the directorship from 24.12.2019.

**Mr. Abhilash Lal was appointed as Additional Director w.e.f. 22.03.2020.

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

(₹ In Lakhs)

| S. No. | Particulars of Remuneration | Key Managerial Personnel | | | Total |
|--------|---|--|---|--|--------------|
| | | Mr. Ajay Kumar Jain (Chief Financial Officer) | Mr. Anand Kumar Pandey (former Company Secretary) (resigned from the office w.e.f. 30.06.2019) | Mr. Ankit Sharma (Company Secretary) (joined on 12.08.2019) | |
| 1 | Gross salary | | | | |
| | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | 18.28 | 1.84 | 5.58 | 25.70 |
| | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 | NIL | NIL | NIL | |
| | (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961 | NIL | NIL | NIL | NIL |
| 2 | Stock Option | NIL | NIL | NIL | NIL |
| 3 | Sweat Equity | NIL | NIL | NIL | NIL |
| 4 | Commission | | | | |
| | - as % of profit | NIL | NIL | NIL | NIL |
| | others, specify | NIL | NIL | NIL | NIL |
| 5 | Others, please specify | NIL | NIL | NIL | NIL |
| | Total | 18.28 | 1.84 | 5.58 | 25.70 |

VIII) PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

(₹ In Lakhs)

| Type | Section of the Companies Act | Brief Description | Details of Penalty/ Punishment/ Compounding fees imposed | Authority [RD/NCLT/ COURT] | Appeal made, if any (give Details) |
|-------------------------------------|------------------------------|-------------------|--|----------------------------|------------------------------------|
| A. COMPANY | | | | | |
| Penalty | NIL | NIL | NIL | NIL | NIL |
| Punishment | NIL | NIL | NIL | NIL | NIL |
| Compounding | NIL | NIL | NIL | NIL | NIL |
| B. DIRECTORS | | | | | |
| Penalty | NIL | NIL | NIL | NIL | NIL |
| Punishment | NIL | NIL | NIL | NIL | NIL |
| Compounding | NIL | NIL | NIL | NIL | NIL |
| C. OTHER OFFICERS IN DEFAULT | | | | | |
| Penalty | NIL | NIL | NIL | NIL | NIL |
| Punishment | NIL | NIL | NIL | NIL | NIL |
| Compounding | NIL | NIL | NIL | NIL | NIL |

On behalf of the Board of Directors of
Apollo Pipes Limited

(Sanjay Gupta)

Chairperson

DIN: 00233188

Date: August 4, 2020

Place: Noida